

RESPONSIBLE INVESTMENT POLICY

I. Introduction

SVI is a service provider signatory of the Principles for the Responsible Investment of the United Nations, fully specialized on ESG integration services for Institutional Investors. SVI was founded in 2013 to integrate ESG factors into financial analysis. SVI conducts ESG Analysis and Research on companies, countries and projects. It offers Advisory and Consulting services on ESG integration and Active Ownership services, such as engagement, corporate governance assessments and proxy voting.

II. Objective of the Policy

SVI aims to act as a responsible service provider to Institutional Investors to support the fulfillment of their stewardship duties towards beneficiaries and society as a whole. With this goal in mind, SVI pay-off is '*Stewardship for value. Value for society*'.

Specifically, SVI:

- believes that through a committed and innovative service provision of ESG research and analysis to investors sustainable value in the economy can be achieved;
- believes that by integrating Environmental, Social, and Governance (ESG) criteria into ownership policies and practices and investment decision-making, a positive impact on the value creation for society as well as long-term risk-adjusted financial returns for investors and their beneficiaries can be achieved;
- believes that it can deliver value to the engagement activities of institutional investors focusing on the priority engagement activities and measuring their impacts;
- promotes transparency in finance and the economy in general;
- aims to report on its responsible investment services to its investors and the financial community.

III. Scope of the Policy

This policy is intended to apply to all the services that SVI provides to Institutional Investors and their asset managers.

SVI applies the policy consistent with the fiduciary responsibilities and the mandate provided by its investors.

IV. Principles of the Policy

SVI offers its services adhering to the United Nations Principles for Responsible Investment and it commits to the implementation of the six PRI Principles as follows:

Principle 1: Incorporating ESG into analysis, research, investment and decision making of its clients

Principle 2: Promoting and supporting active ownership policies and practices of its clients

Principle 3: Asking for ESG disclosures by companies and projects analyzed on behalf of its clients

Principle 4: Promoting the acceptance and implementation of the PRI Principles within the investment industry

Principle 5: Promoting the effectiveness of collaborative engagements among Institutional Investors

Principle 6: Reporting on its activities towards implementing and promoting the PRI Principles.

These are principles derived from and which are respecting The Universal Declaration of Human Rights, The United Nations Declarations on the Rights of Indigenous People, The International Labour Organization's Declaration on Fundamental Principles and Rights at Work, The Rio Declaration on Environment and Development and The United Nations Convention Against Corruption, which SVI fully support.

IV. Implementation and Reporting

An adequate monitoring of the whole process of its service supply is being put in place by a new governance structure with the appointment of an Advisory Board, in order to avoid any possible adverse reputational, financial and economic risks for SVI and its clients.

SVI will report on a yearly basis to its Advisory Board.

Contact

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